

# Finding order in chaos: A behavior model of the whole grid

Jesús Montes<sup>1\*</sup>, Alberto Sánchez<sup>2</sup>, Julio J. Valdés<sup>3</sup>, María S. Pérez<sup>4</sup> and Pilar Herrero<sup>4</sup>

<sup>1</sup> *Centro de Supercomputación y Visualización de Madrid (CeSViMa),  
Universidad Politécnica de Madrid,  
Parque Tecnológico UPM, Pozuelo de Alarcón,  
Madrid, Spain  
email: jmontes@cesvima.upm.es*

<sup>2</sup> *E.T.S. de Ingeniería Informática, Universidad Rey Juan Carlos,  
Campus de Móstoles, Móstoles,  
Madrid, Spain  
email: alberto.sanchez@urjc.es*

<sup>3</sup> *National Research Council Canada,  
Institute for Information Technology,  
M50, 1200 Montreal Rd,  
Ottawa, ON K1A 0R6, Canada  
email: julio.valdes@nrc-cnrc.gc.ca*

<sup>4</sup> *Facultad de Informática, Universidad Politécnica de Madrid,  
Campus de Montegancedo s/n, Boadilla del Monte,  
Madrid, Spain  
email: mperez@fi.upm.es, pilar.herrero@upm.es*

---

## SUMMARY

Over the last decade, grid computing has paved the way for a new level of large scale distributed systems. However, this new step in distributed computing comes along with a completely new level of complexity. Grid management mechanisms play a key role, and a correct analysis and understanding of the grid behavior is needed. Traditional distributed computing management mechanisms analyze each resource separately and adjust specific parameters of each one of them. When trying to adapt the same procedures to grid computing, the vast complexity of the system can complicate this task.

But grid complexity could only be a matter of perspective. It could be possible to understand the grid behavior as a single system, instead of a set of resources. This abstraction could provide a deeper understanding of the system, describing large scale behavior and global events that probably would not be detected analyzing each resource

---

\*Correspondence to: jmontes@cesvima.upm.es

---

separately.

**In this paper a specific methodology is presented and described in order to create a global behavior model of the grid, analyzing it as a single entity. Both real and simulated case studies are also presented, in order to provide a proper validation and illustrate the benefits of this approach.**

KEY WORDS: *Large-scale distributed systems, Grid computing, Modelling, Data mining, Grid behavior*

## 1. Introduction

Grid technology [19] provides access to a pool of geographically distributed computing and data heterogeneous resources. Although nowadays it is achieving its maturity, the architectural characteristics of the environment (like the use of these heterogeneous elements, security related issues, asynchronism, scalability and the coordinated use of non-dedicated resources) can cause management and reliability problems<sup>†</sup>. The complexity of this kind of environments makes it very difficult to optimally take advantage of its capabilities in order to execute highly sophisticated applications. Dealing with this complexity becomes a major priority, in order to manage the environment and make decisions aimed at using the resources in a better way.

The high level of sophistication of grid environments requires to find new ways to manage them. Nevertheless, it is required to understand properly the environment before trying to improve its management. The traditional and current vision of grid management [3, 31, 48] tries to understand the environment from the behavior of the set of its independent and heterogeneous resources. This implies that current grid management techniques require a deep knowledge about the operation of each grid resource. However, the diversity, dynamism and huge size of the information collected for all the parameters required to manage each resource make grid understanding difficult. Therefore, a new approach to simplify it without losing information which is relevant would be very beneficial to manage the system.

With this aim, we propose to break with the traditional philosophy of grid management, considering aspects according to the whole system behavior instead of each grid resource operation. This way, grid management is based on a behavior abstraction of the whole system as a single entity instead of specific information about each and every element that together form the environment. In this paper, we present our approach which models a grid as a whole, with the aim of simplifying its management and decision making. This model is extracted from the analysis of monitored data along a large period of time. The model can help the scientific community to tackle different problems that are currently not properly solved in the grid field.

---

<sup>†</sup>Note that a grid is defined as *a system that coordinates resources that are not subject to centralized control* [18].

---

Fault tolerance and job scheduling adapted to the dynamic changes and behavior of the whole grid can be highlighted among others.

The paper is organized as follows. Section 2 shows different works related to our approach. Section 3 proposes a methodology designed to obtain a global behavior model of large scale distributed environments, like a grid. Section 4 shows a generic framework for addressing this problem. Section 5 validates our proposal by means of the evaluation of both a simulated case study and a real grid environment. Finally, Section 6 summarizes the conclusions of the analysis performed and outlines the future research lines.

## 2. Related Work

Several initiatives aim to model and characterize the behavior of a grid. Benchmarks [11] are common solutions used for characterizing the performance behavior of a system under representative workloads. With the use of benchmark programs, a performance model of the system can be obtained. Many advances have been achieved in the field of grid benchmarking [10]. Different grid benchmarking approaches have been developed: NAS Grid Benchmark (NGB)[21] and GridBench [49], among others. However, grid benchmarking cannot model and predict the dynamic behavior of grids. Another disadvantage of grid benchmarking techniques is their dependence on the accuracy of benchmarks and the suitable selection of inputs and configuration parameters. Since the results are not based on real data over the grid, the selection of a realistic workload is a critic step.

Bratosin et al. [2] offer a formal description of grids by means of Colored Petri Nets (CPN). In this way, a grid can be simulated. Our proposal is not a simulation of a grid but a simplified model of a specific grid environment, which makes easier the application of more efficient management techniques.

Nemeth et al. [37, 36] state the differences between grids and conventional distributed environments. With this aim, they show a high level semantical model for grids by means of the use of Abstract State Machines (ASM) [23], a mathematical framework for analysis and design of systems. Nevertheless, this definition takes only into account the qualitative characteristics of an ideal grid.

Palatin et al. [41] apply data mining techniques in the creation of a distributed outliers detection algorithm, which allows researchers to understand the grid resources behavior and detect possible machine misconfigurations. Unlike this approach, our proposal is a generic mechanism that provides a global understanding of the grid by means of the use of knowledge discovery techniques.

---

### 3. A global behavior model for understanding the grid

As it has been said, usual grid management mechanisms try to improve performance based on the individual analysis of every component on the system. Then they try to adjust the configuration or predict the behavior of each independent element. This approach may seem reasonable considering the large scale and complexity of the grid. However it could possibly fail to achieve optimal performance, because in most cases it lacks the capability to understand the effects that different elements have on each other when they work together. From a more theoretical point of view, if we consider a grid as an individual entity (with its computational power, storage capacity and so on), it seems logical to analyze it as such, instead of composed of a huge set of individual resources. Therefore two different ways of understanding the grid can be distinguished. First of all, the “multiple entity” point of view, common in most grid management techniques, where the system is controlled analyzing each resource independently. On the other hand, there is also the “single entity” point of view, in which the grid is regarded as a single system (*the* grid). This is similar as how computers are regarded as individual entities, even though they are made of several electronic components of different nature, or how clusters are most times considered as single machines, when in fact they are composed by many computers. It is, finally, a matter of abstraction, and a global behavior model of a grid would provide the necessary abstraction layer that finally makes the single entity point of view possible.

In order to do so, a behavior model for the whole grid must have certain characteristics:

- **Specific state definition:** State characteristics and transition conditions should be unambiguously specified. The number of states should also be finite, in order to provide a useful model. A typical model representation that fits with these characteristics is a *finite state machine* [27, 5].
- **Stable model:** The resulting model must be consistent with the environment behavior along the time. As these environments are naturally changing, it seems unrealistic to hope for stationarity. However, it must have at least certain stability to be useful. A model that needs to be regenerated every time an event occurs on the system is simply unusable.
- **Easy to understand:** The resulting model would be used by management tools and system administrators. Therefore, it has to be understandable and provide basic and meaningful information about the system behavior. A very complex model might be very precise, but it would be extremely complicated to use and, therefore, certainly useless.
- **Service relevant states:** The model states should be related to the system services. This ensures that the observed behavior can be explained in terms of how these services are being provided.

This paper presents a methodology for creating this kind of model. The methodology is strongly based on knowledge discovery techniques, and divided into the following three steps:

---

- 
1. **Observing the grid:** The system is observed using large scale distributed systems monitoring techniques. At this point, every resource is monitored and the information is gathered. In the same way the operating system of a desktop computer monitors every hardware element, each resource must be observed as a start point to build the abstraction. After or even simultaneously with the monitoring, the information obtained is represented in a more global way. The use of statistical tools (mean, standard deviation, statistical tests, etc) and data mining techniques (visual representation, clustering, etc) are decisive to provide a correct information representation.
  2. **Analyzing the data:** Once the monitoring information is properly formatted, again data mining techniques (machine learning) are applied in order to extract useful knowledge and state related information.
  3. **Building the model:** Finally, the finite state machine model is constructed, providing meaningful states and behavior information.

The resulting model produced by this methodology becomes the abstraction layer on top of the grid. This model expresses in a simple and usable way the behavior of the system, and allows us to focus on a single entity view of the environment.

Finally, the whole process should be made in a transparent way, that is, autonomically. Autonomic computing [30] refers to systems that can adapt themselves to the changes occurred in a dynamic environment, like a grid. It tries to achieve that the system is capable of managing itself. The proposed autonomic management helps to reduce the complexity and drawbacks of grid environments by managing their heterogeneity and complexity. Our goal is to provide autonomic features to our approach.

#### 4. Grid global behavior model construction

In the previous section the global behavior model has been introduced and generally described. In order to have a deep understanding of the model capabilities it is necessary to know in detail how it is built. The complete three phases of this process with all their inner steps are shown in Figure 1. The following subsections will describe in detail every stage.

##### 4.1. Stage 1: Observing the grid

As in any other autonomic computing process, the first step is observing the environment, that is monitoring. A correct observation of the relevant parameters is crucial to witness the real grid behavior and to identify its states. However, as the grid monitoring information is very often massive (due to the actual size and heterogeneity of the system), it is also important to find a good way to represent this data, in order to be properly analyzed.

Therefore the *observing the grid* stage is divided in two steps: System monitoring, and information representation.

Figure 1. Global behavior model construction phases

#### 4.1.1. System monitoring

This first step is basically to gather monitoring information from every grid resource. We have implemented a tool called GMonE (Grid Monitoring Environment) [46, 34] specifically designed for this task and based on MDS [47]. However many other commonly used monitoring tools can be used or adapted to this purpose, such as MonALISA [35, 39, 38], NWS [56, 55, 40], Ganglia [22], MDS, etc. The objective is to obtain a set of general observations of diverse parameters that can be monitored in each grid resource and then aggregate them to obtain *grid scale* values. This aggregation can be as complicated as it is desired, but generally common statistic descriptors such as average values and standard deviations are sufficient. One of the main advantages of GMonE vs. other monitoring systems that can be used is that it performs this aggregation automatically, so an extra software layer is not required.

There is an important detail that has to be discussed at this point, and this is the parameter selection. Intuitively it may seem reasonable to think that field experts in the services the grid provides could have a better understanding of the system behavior and therefore they could be able to make a good monitoring parameter selection, focusing only on relevant information and discarding the rest. In our experiments this *human expert factor* proved to be very limited and generally inefficient. This seems understandable considering the vast complexity of the environment, which makes unlikely for any expert to have a complete knowledge of all possible

---

---

influences on system behavior. On the contrary, we propose a fully automatic approach, based on mathematical analysis of each variable in order to determine its importance. In our proposal, the parameter selection is done by the process itself (as described in this and the following sections), and it emerges naturally as a consequence on the methodology. Instead of providing a set of selected metrics, the administrator just needs to input as many different parameters as possible.

#### 4.1.2. Information representation

As important as the monitoring information itself is the way it is represented. A proper analysis can not be performed if data is not correctly organized.

Although, as it has said above, the monitoring information should be aggregated in order to obtain *grid scale* values, this is usually not enough. If the parameter selection was exhaustive the monitoring data set obtained would still have so many variables, making difficult further analysis. To alleviate this problem we use virtual representation of information systems.

The role of visualization techniques in the knowledge discovery process is well known. The increasing complexity of the data analysis procedures makes it more difficult for the user to extract useful information out of the results generated by the various techniques. This makes graphical representation directly appealing. Data and patterns should be considered in a broad sense. The increasing high rates of data generation emerging from the grid require the development of procedures facilitating the *understanding* of the structure of this kind of data rapidly, intuitively and integrated within a monitoring tool.

Virtual Reality (VR) is a suitable paradigm for visual data mining. It is *flexible*: allows the choice of different ways how to represent the objects according to the differences in human perception. VR allows *immersion*: the user can navigate inside the data and interact with the objects in the world. A virtual reality technique for visual data mining on heterogeneous, imprecise and incomplete information systems was introduced in [50, 52].

One of the steps in the construction of a VR space for data representation is the transformation of the original set of attributes describing the objects under study, in the present case grid related events characterized by several monitored features, into another space of small dimension (typically 2-3) with intuitive metric (e.g. Euclidean). The operation usually involves a non-linear transformation; implying some information loss. There are basically three kinds of spaces sought: *i*) spaces preserving the structure of the objects as determined by the original set of attributes, *ii*) spaces preserving the distribution of an existing class defined over the set of objects and *iii*) spaces representing a tradeoff between the previous two. Since in many cases the set of descriptor attributes does not necessarily relate well with the decision attribute, different types of spaces are usually conflicting. Moreover, they may be created by different non-linear transformations.

We have used a visual, data mining technique based on virtual reality oriented to general relational structures (information systems) [50], [52]. This technique is oriented to the understanding of large heterogeneous, incomplete and imprecise data, as well as symbolic knowledge. Such a structure  $U = \langle O, A \rangle$  is given by a finite collection of objects  $O$ , described in terms of a finite collection of properties  $A$  (maybe large). These are described by the so called source sets, constructed according to the nature of the information to represent. Source sets also account for imprecise/incomplete information.

A *virtual reality space*  $VR$  is given by a finite collection of objects  $\hat{O}$  with associated *i)* *geometries* representing the different objects and relations, *ii)* *behaviors* which the objects may exhibit in the world, *iii)* *location* in the VR space which typically is a subset  $\mathfrak{R}^m$  of a low cardinality cartesian product of the reals  $\mathbb{R}^m$  ( $\mathfrak{R}^m \subset \mathbb{R}^m$  of dimension  $m \in \{1, 2, 3\}$  and Euclidean metric) and *iv)* functions assigning geometries, behavior and location to the set of studied objects.

If the objects in  $U$  are in a heterogeneous space  $\hat{\mathcal{H}}$  described by  $n$  properties,  $\varphi : \hat{\mathcal{H}}^n \rightarrow \mathfrak{R}^m$  is the function mapping the objects  $O$  from  $U$  to those  $\hat{O} \in VR$ . Several desiderata can be considered for building a transformed space either for constructing visual representations or as new generated features for pattern recognition purposes. According to the the property that the objects in the VR space must satisfy, the mapping can be:

- *Unsupervised*: The location of the objects in the space should preserve some structural property of the data, dependent only on the set of descriptor attributes. Any class information is ignored. The space sought should have minimal distortion.
- *Supervised*: The goal is to produce a space where the objects are maximally discriminated w.r.t. a class distribution. The preservation of any structural property of the data is ignored, and the space can be distorted as much as required in order to maximize class discrimination.
- *Mixed*: A space compromising the two goals is sought. Some amount of distortion is allowed in order to exhibit class differentiation and the object distribution should retain in a degree the structural property defined by the descriptor attributes. Very often these two goals are conflicting.

From the point of view of their mathematical nature, the mappings can be:

- *Implicit*: the images of the transformed objects are computed directly and the algorithm does not provide a function representation.
- *Explicit*: the function performing the mapping is found by the procedure and the images of the objects are obtained by applying the function. Two sub-types are:
  - *analytical functions*: for example, as an algebraic representation.
  - *general function approximators*: for example, as neural networks, fuzzy systems, or others.

Explicit mappings can be constructed in the form of analytical functions (e.g. via genetic programming), or using general function approximators like neural networks or fuzzy systems.

An explicit  $\varphi$  is useful for both practical and theoretical reasons. On one hand, in dynamic data sets (e.g. systems being monitored or incremental data bases) an explicit transform  $\varphi$  will speed up the update of the VR information space. On the other hand, it can give semantics to the attributes of the VR space, thus acting as a general dimensionality reducer.

*The unsupervised perspective: Structure preservation*

Data structure is one of the most important elements to consider and this is the case when the location and adjacency relationships between the objects  $O$  in  $VR$  should give an indication of the *similarity relationships* [6], [1] between the objects in  $\hat{\mathcal{H}}^n$ , as given by the set of original attributes [51].  $\varphi$  can be constructed to maximize some metric/non-metric structure preservation criteria as has been done for decades in multidimensional scaling [32], [1], or to minimize some error measure of information loss [45]. If  $\delta_{ij}$  is a dissimilarity measure between any two  $i, j \in U$  ( $i, j \in [1, N]$ , where  $N$  is the number of objects), and  $\zeta_{i^v j^v}$  is another dissimilarity measure defined on objects  $i^v, j^v \in O$  from  $VR$  ( $i^v = \xi(i), j^v = \xi(j)$ ), examples of error measures frequently used are:

$$\text{S stress} = \sqrt{\frac{\sum_{i<j} (\delta_{ij}^2 - \zeta_{ij}^2)^2}{\sum_{i<j} \delta_{ij}^4}}, \quad (1)$$

$$\text{Sammon error} = \frac{1}{\sum_{i<j} \delta_{ij}} \frac{\sum_{i<j} (\delta_{ij} - \zeta_{ij})^2}{\delta_{ij}} \quad (2)$$

$$\text{Quadratic Loss} = \sum_{i<j} (\delta_{ij} - \zeta_{ij})^2 \quad (3)$$

Classical deterministic algorithms have been used for directly optimizing these measures, like Steepest descent, Conjugate gradient, Fletcher-Reeves, Powell, Levenberg-Marquardt, and others. Computational intelligence (CI) techniques like neural networks [28], evolution strategies, genetic algorithms, particle swarm optimization and hybrid deterministic-CI methods have been used as well [53], [54].

The number of different similarity, dissimilarity and distance functions definable for the different kinds of source sets is immense. Moreover, similarities and distances can be transformed into dissimilarities according to a wide variety of schemes, thus providing a rich framework.

In this paper unsupervised VR spaces are used for representing the grid, as the states are unknown in nature, moreover with a time dependent number and composition. This approach is more convenient than other classical techniques like Principal Components Analysis (PCA) for several reasons: *i*) PCA is a linear technique, whereas unsupervised VR spaces are obtained with nonlinear methods, more adequate to describe the complex relationships existing in large masses of monitored objects in an uncontrolled time-varying environment, *ii*) monitored processes are prone to contain missing information (this difficulty can be dealt with using nonlinear VR spaces, but seriously affects PCA), *iii*) unsupervised VR spaces focusses the

Figure 2. Example of tree-dimensional representation

attention in preserving the similarity relations between every pair of monitored objects in the best possible way, whereas PCA only seeks a transformation which creates a monotonically decreasing distribution of the variance (not necessarily the property of interest when trying to identify states within an unknown system).

Our technique takes advantage of unsupervised VR spaces in order to identify grid states. Figure 2 illustrates a typical tree-dimensional data set represented with this technique. As it can be seen, the similar points appear closer, forming *clouds*<sup>‡</sup>.

At the end of *stage 1* our methodology has produced two outputs. The first one is the aggregated monitoring data, which contains the actual observed information. The second one is the three-dimensional virtual representation of that information, created to represent the grade of similarity among observations in an easy to handle way.

---

<sup>‡</sup>Do not confuse these clouds with this term in cloud computing.

---

## 4.2. Stage 2: Analyzing the data

The aim of this stage is to identify and describe grid states. As the final objective of these procedures is to create a Finite State Machine (FSM) that models the system behavior, the states themselves are the main element to identify.

It has been said that the visual representation generated carries information regarding the grade of similarity among the observed monitoring values. Similar individuals in the data set should appear close in the representation, presumably forming a sort of *clouds*, separated by relatively empty spaces. As all individuals in each *cloud* have similar monitoring values, it is reasonable to presume that they have a close relation among what can be represented as belonging to the same group. Therefore different *clouds* will represent different states, and it is necessary to analyze and characterize them.

This process is divided as well in two steps. The first one is actually separating the *clouds* in the three-dimensional representation. The second one is to, once each *cloud* has been separated, to study them in order to characterize each state.

### 4.2.1. State identification

In order to divide the original monitoring data set in different groups that will become states, the *clouds* within the three-dimensional representation have to be separated. This could be done manually, by a visual analysis but, the aim of this proposal is to do it automatically. This is where data mining techniques [24] are the key to provide the appropriate solution.

The state identification can be viewed as a clustering problem. Basically clustering is the assignment of objects into groups (*clusters*) so that objects of the same *cluster* are more similar to each other than objects from different ones. There are many clustering techniques that can be used, depending on the type of data and how much knowledge we have about it. During the development of this methodology several different clustering algorithms were tested in order to find the most adequate:

- **K-Means** [33] and derived algorithms were the first to be tested, as they are one of the most commonly used clustering techniques. The results were diverse, showing that the clustering quality depended greatly on the data set used. Also, the K-Means algorithms require to provide the number of clusters as an input, which in this case is the number of states, but this value is unknown at this point. Anyway the performed experiments showed K-Means algorithm did not cluster correctly many of the three-dimensional datasets generated in the previous phase, so these techniques were discarded.
- **Hierarchical clustering** [29, 8] was tested then, also with unsuccessful results. Hierarchical clustering creates a hierarchy of clusters, representing them as a tree (called dendrogram), with individual elements at one end and a single cluster containing every element at the other. Each level of the tree provides a different set of clusters, based on the maximum distance between points. The main drawback of this technique is that

---

the tree-dimensional representation contains *noise* that interferes with the hierarchical construction. This *noise* is a relatively small set of values that are not really close to any *cloud*, but resting in the almost empty areas between them. At this point it was clear that the clustering technique chosen should deal with this *noise*, therefore it must be a density based approach.

- **Expectation-Maximization (EM)** [9] techniques were tried then, also with uneven results. EM attempts to identify clusters by finding groups of individuals whose distances follow a given probability distribution (normally a Gauss distribution, but not necessarily). At this point nothing can be assumed about the grid states so limiting the search to a expected probability distribution seems premature.
- **Quality Threshold (QT)** [26] clustering was tested then, with better result in this case. This algorithm allows us to identify very diverse kinds of clusters, without assuming any density probability distribution either specifying the number of clusters *a priori*. It requires more computing power than K-Means and it was originally designed for gene clustering. Although it provided better results than the other techniques, it still presented some problems dealing with *noise* values. Most of these problems basically resulted in the algorithm not being able to correctly separate clusters, grouping together sets of points clearly separated in the three dimensional space, but possibly connected by small groups of noise points. We believe the main reason behind these problems is that, as it has been said, QT was designed to work in gene clustering. Generally the type of data sets used in gene clustering are very different from our three-dimensional representation, usually presenting many dimensions (much more than three) but not so many points.
- Finally **DBSCAN** [13] family algorithms were tested. DBSCAN techniques are specifically designed to identify density variations in a data set, specially in those with a low number of dimensions (which is the case) and they manage *noise* in a better way. It was finally decided to use this technique as it proved to be the most efficient and stable one for our problem, providing a reasonably good clustering in almost every test.

The result of the state identification step is the clustering produced by the DBSCAN algorithm. The clustering information calculated is then incorporated to the monitoring information to perform its analysis.

#### 4.2.2. State characterization

As each point in the tree-dimensional visualization represents one individual on the original data set, the clustering can be directly incorporated to the initial readings, and the resulting groups will be still consistent. Once the clustering is finished and its results incorporated to the original data set it is necessary to use a technique that explains the clusters in terms of the original variables.

This is a typical *supervised classification* problem. In this kind of problems the main objective is to create a model that explains a given classification of the individuals of a data set. In our case the classification is the clustering generated on the previous step, and the classification model generated must fulfill two requirements:

- 
1. The model must be understandable by human means. As the main objective of this methodology is to create a behavior model of the grid based on a FSM, it is important that the states are defined in a way a human expert can understand. Therefore the model must be expressed as a decision tree, decision rules or some other kind of understandable representation. This is important at the time of choosing the right classification algorithm because some techniques (i.e. Artificial Neural Networks [25]) can generate very precise classification models that can not be explained.
  2. The model must be simple. For the same reason as the previous point, the generated model must be easy enough for a human expert to understand.

Different classification algorithms that fulfill the previously mentioned two requirements were tested, and finally the C4.5 algorithm [43] was selected. This is a statistical classifier that generates a decision tree as classification model. This tree can be easily translated into a set of rules, each one describing the conditions to determine which class an individual belongs to. The basic nature of the decision tree guarantees no ambiguity, allowing each element to be part of one group only. This algorithm can also be automatically adjusted to make sure that the generated tree is not too complex (although very simple models will probably generate worse classification models). In any case the C4.5 algorithm parameters can be automatically adjusted to generate a model that is understandable and useful.

At the end of this second stage the states have been identified and characterized. The next step is to statistically analyze the monitored data including this new information, in order to obtain the FSM model.

#### 4.3. Stage 3: Building the model

The two previous stages provide a set of states and its description, but still more knowledge can be obtained from the monitoring data originally gathered. Transition between states are difficult to define automatically but, as it has been said before, the generated model is not ambiguous (it is represented in the form of a decision tree), so no situation where two states happen at the same time is possible. Therefore the transition between states can be determined by the classification model itself, reviewing the conditions of each one.

A simple statistical analysis of the monitored data, anyway, can provide with some other relevant information:

- **State probability:** The statistical study of each state in the monitoring information data set can offer a good estimation of the probability of each grid state. Therefore the most common states can be identified and separated from the rare ones. This provides a deep understanding of the grid behavior, knowing not only what conditions are possible (the states themselves) but which of them are expected to be more often (and therefore it is important to focus further efforts on them).
- **Transition probabilities:** In a similar way to the state probabilities, transit on probabilities can be determined by a simple analysis of the monitored data. This

---

complements the previous point, making possible to know not only which are the most frequent states but also which are the most probable transitions.

The resulting model shares most of its properties with FSMs, but also includes statistical information that provides a deeper understanding of the grid behavior. As it has been said previously the resulting states are meaningful and easy to understand, providing crucial information on the monitored grid behavior.

#### 4.4. Model stability and further considerations

The three steps described above enable the construction of a FSM that models the grid behavior for the monitored time period. Each identified state is associated with a specific set of conditions which can be explained and are significantly different from the rest. Anyway there are some additional questions that can arise and should be answered in order to understand completely the process.

Maybe the most important issue is related to the generated model usefulness and stability. The FSM obtained explains how the grid behaved along the monitored time period, but this behavior must be also observed outside the given time. Otherwise the model would be useless. From this point of view it is very important to find a suitable way to deal with the system variability.

The grid is an environment in constant change. From a theoretical point of view (related to the FSM that this technique aims to build) three types of changes can be identified:

- **Minor changes** are subtle variations related to the specific situation of the system. In most cases these changes are too detailed to be of any use from a global point of view, as they depend largely on the specific moment when they occur.
- **Major changes** are clear variations of the system's behavior, where some basic conditions change. This usually indicates changes of state and the FSM generated should be able to model them.
- **Radical changes** are normally related to drastic variations of the grid behavior, probably originated by great modifications on the system architecture, services provided or global usage patterns. In these changes most basic grid conditions change, usually rendering the FSM model generated useless.

As it can be seen, what is considered a *minor change*, a *major change* and a *radical change* depends on how detailed the behavior analysis is made. If it is assumed that the FSM generated identifies *major changes*, where “the lines are drawn” to separate these categories depends on the size of the monitoring data set used to create the model.

A very small monitoring data set will generate very specific states, and the model will be generally over-fitted to this data. Therefore very soon as time goes by the behavior described

---

---

by it will not match the observed one and the model will turn into useless.

On the other hand, if the monitoring data set is too big, the resulting model will be too generic, identifying only very big changes in the system's behavior as changes of state. This kind of model would be definitely much more stable along the time than the previous one, but also useless from a grid management point of view.

The key factor is, in consequence, to find the right monitoring data set size so that the FSM generated usefully models the grid behavior and also has an acceptable stability. It will always be vulnerable to *radical changes* but if the data set size is determined correctly this will not happen very often.

#### 4.4.1. Determining model stability and monitoring data set size

In order to select the proper monitoring data set size to generate the FSM a model stability study must be made. An experimental example of this study can be seen in Section 5, but a detailed description of the generic procedure is described here.

The basic concept behind this study is to determine how long a generated model can be used given a monitoring data set size. To express the following parameters should be taken into account:

- $t$  is the instant where the model is generated.
- $w$  is the monitoring window size. This is basically the size of the monitoring data set used to generate the model.
- $C(t, w)$  is the set of clusters observed at time  $t$ , in the first step of the *Analyzing the data* stage described above. These clusters are provided as the result of the clustering algorithm used: DBSCAN. They are generated using monitoring information from interval  $(t - w, t]$ .
- $M(t, w)$  is the classification model generated at time  $t$  at the end of the *Analyzing the data* stage described above. This classification is provided by the classification algorithm used: C4.5. It is based on the clusters identified by  $C(t, w)$ .

Therefore, at any time  $t$  a new  $M(t, w)$  model could be generated, or a previously calculated on time  $t - d$  model  $M(t - d, w)$  could be used. In order to determine which option is the best, it is necessary to find out if  $M(t - d, w)$  is still valid at time  $t$ .

Using the classification model  $M(t - d, w)$  over the current  $(t - w, t]$  monitoring data set will provide with a set of **predicted states** for that interval. Also, the calculation of  $C(t, w)$  will provide a set of **observed states**, yet to be explained. The comparison between predicted and observed states is the key to determine whether or not  $M(t - d, w)$  is still valid at time  $t$ .

The function  $F(t, w, d)$  is defined as the **level of agreement** between predicted (by  $M(t - d, w)$ ) and observed (by  $C(t, w)$ ) state values in the  $(t - w, t]$  monitoring data set

---

interval. The value  $d$  is actually the distance between the data set interval  $(t - d - w, t - d]$  used to generate  $M(t - d, w)$  and the interval  $(t - w, t]$  used to calculate  $C(t, w)$ . The study of this function for different  $t$ ,  $w$  and  $d$  is the key to determine the right combination of values in order to achieve a good model stability.

#### 4.4.2. Calculating the $F(t, w, d)$ function

As it has been said, the  $F(t, w, d)$  function should measure the level of agreement between the predicted and observed states, that is, “how close” the classification given by model  $M(t - d, w)$  and the clustering  $C(t, w)$  are. In order to compare them the *confusion matrix* can be used:

Given a set of observed states  $O = \{o_1, o_2, \dots, o_R\}$  and predicted states  $P = \{p_1, p_2, \dots, p_S\}$  on the same data set, the confusion matrix can be represented as follows:

	$p_1$	$p_2$	...	$p_S$	Sums
$o_1$	$n_{11}$	$n_{12}$	...	$n_{1S}$	$n_{1.}$
$o_2$	$n_{21}$	$n_{22}$	...	$n_{2S}$	$n_{2.}$
...	...	...	...	...	...
$o_R$	$n_{R1}$	$n_{R2}$	...	$n_{RS}$	$n_{R.}$
Sums	$n_{.1}$	$n_{.2}$	...	$n_{.S}$	$n_{..} = n$

Where  $n$  is the number of points in the data set and  $n_{ij}$  is the number of points that are in  $o_i$  and  $p_j$ .

Several comparison indexes can be calculated based on the confusion matrix, in order to determine how well the predicted states fit in the observed ones. The most simple is the *grade of similarity* ( $S$ ) between  $O$  and  $P$ , and can be expressed as follows:

$$S = \frac{\sum_i [\max(n_{ij})] + \sum_j [\max(n_{ij})]}{2n} \quad (4)$$

This produces a value in the  $[0, 1]$  interval, where 1 indicates that both  $O$  and  $P$  are a perfect match and 0 that are completely different. This  $S$  index seems like a good first way of calculating the  $F(t, w, d)$  function, but other more complex metrics can be also used.

The *Rand* index [44] attempts to be an improved metric for clustering comparison. It is defined by the following equation:

$$Rand = \frac{a + d}{a + b + c + d} \quad (5)$$

where

$$\begin{aligned} a &= \sum_i \sum_j \binom{n_{ij}}{2}, & b &= \sum_i \binom{n_{i.}}{2} - a, \\ c &= \sum_j \binom{n_{.j}}{2} - a, & d &= \binom{n}{2} - a - b - c \end{aligned}$$

---


$$\text{and}$$

$$a + b + c + d = \binom{n}{2}$$

As in the case of  $S$ , the  $Rand$  index produces a value in the  $[0, 1]$  interval, where 1 indicates that both  $O$  and  $P$  are a perfect match and 0 that are completely different. Anyway this value is generally more accurate than the one provided by the simpler  $S$ .

The Fowlkes-Mallows measure of agreement [20] is another index that can be used to estimate the value of  $F(t, w, d)$ . It is defined as follows:

$$B_k = \frac{\sum_i \sum_j n_{ij}^2 - n}{\sqrt{[\sum_i (\sum_j n_{ij})^2 - n][\sum_j (\sum_i n_{ij})^2 - n]}} \quad (6)$$

Again the resulting  $B_k$  value falls in the  $[0, 1]$  interval, providing the same information the  $S$  and  $Rand$  indexes do. The Fowlkes-Mallows measure of agreement is generally considered to provide high quality measures, similar to those provided by  $Rand$ .

There are many other clustering comparison indexes that can be used to estimate  $F(t, w, d)$ .  $S$ ,  $Rand$  and  $B_k$  are commonly used and accepted as valid, and therefore we have selected them as our basic estimators of the  $F(t, w, d)$  function in this paper. In the following section a real example of how they can be used to estimate the global behavior modeling stability will be presented.

## 5. Experimental validation

In order to validate the methodology presented in this paper two different kinds of experiments have been performed. The first type is based on a simulated grid environment, and its main purpose is to illustrate with a practical example the detailed procedure of constructing a global behavior model. The second one is based on real monitoring information gathered from the PlanetLab [42] infrastructure. The main objectives of this second experiment are to illustrate how the global behavior modeling can be applied to a real large scale distributed environment and also to make an assessment of the behavior model stability validation techniques described in this paper.

The detailed characteristics of each scenario, along with the experimental results obtained are described in the following subsections.

---

## 5.1. First experiment: Case study

### 5.1.1. Scenario characteristics

In order to produce a as much realistic as possible simulation of a real grid environment, performance statistics and job accounting information from the EGEE project [12] were used [14, 15, 16, 17]. The EGEE is a project funded by the European Commission's Sixth Framework Programme. It connects more than 70 institutions in 27 European countries to construct a multi-science grid infrastructure for the European Research Area. The experiment simulation was conducted using GridSim [4]. The GridSim toolkit allows modeling and simulation of entities in parallel and distributed computing (PDC) systems-users, applications, resources, and resource brokers (schedulers) for design and evaluation of grid-based applications. Nowadays it is one of the most commonly used and accepted simulation tools specifically designed for grid environments.

The designed scenario had the certain defined characteristics:

- **Randomized resources:** Computing resources were slightly randomize to obtain certain heterogeneity. The number of resources was fixed to 100, but the computing power of each of them was randomly generated. Each resource may have one or two machines, each of them with one or two processing elements (CPUs). The power of each processing element was randomized between 1000 and 5000 MIPS.
- **Randomized clients:** The scenario had a number of 70 clients. These clients function was to randomly generate different types of load (basically CPU load and network load) in order to simulate the uncontrollable changes in the system.
- **Resource failures:** Each resource had a random chance of failure. These were isolated failures that temporarily disconnected the resource from the system randomly affecting its composition. The failure parameters (probability of failure and duration of failure) were adjusted to fit real failure rates observed on the EGEE, according to the reports above cited.
- **Job dispatcher:** In each scenario there was a job dispatcher that represented the grid service. It had a queue of randomly generated jobs. Each job had three randomly generated parameters: the job computing size (between 100 and 100000 millions of instructions) data input size (between 0 and 50 MB) and data input size (also between 0 and 50 MB). These are the three basic job parameters established by GridSim.

### 5.1.2. Experiment development and results

As it has been said, the purpose of this first experiment was to provide a detailed description and a practical example of the global behavior model construction process. In order to achieve it a simulated GMonE monitoring system was deployed along the GridSim simulation, configured to gather monitoring information and produce a monitoring data set. This information was aggregated to produce global values, finally producing the following four parameters:

- Resource CPU load average value.

Figure 3. Three-dimensional visualization of the first experiment data set

- Resource CPU load standard deviation.
- Effective Network Bandwidth average value.
- Effective Network Bandwidth standard deviation.

As it can be seen, this is a very simplistic data set, but its simplicity is perfect to illustrate how the global behavior modeling is actually achieved. A total of 30 days of simulated time were generated, gathering a monitoring data set of all this time.

Then the monitoring data was represented using visual representation of information systems. This technique produced a three-dimensional representation of the monitoring data, where the distance between points is proportional to their grade of dissimilarity. The result can be seen in Figure 3. Clearly three different “clouds” of points can be observed, each one presumably related to a different state. It is also clear that not all “clouds” are equally dense, probably indicating that not all three states are equally probable. Finally some “noise” points can be seen between “clouds”, making the task of separating them more complicated.

Entering on the second stage of the global behavior modeling technique described (*analyzing the data*), the next step is to identify each state, by means of the DBSCAN clustering algorithm. The results of the DBSCAN execution can be seen in Figure 4. The three intuitively seen “clouds” were clearly identified as different clusters (the cluster membership is represented in the figure using a different color for each cluster). Also a significant amount of *noise* was found, represented in the figure as black dots. The first cluster, identified as *cluster1* is the left-most

---

Figure 4. Three-dimensional clustering of the first experiment data set

“cloud” in the figure and grouped the 10% of the monitoring observations in the data set. The second one, *cluster2* is the middle “cloud” in the figure and grouped the 16%. The last one, *cluster3* is the bottom right “cloud” in the figure and grouped the 50%. The remaining 24% was labeled as *noise*.

At this point it is important to understand what is the real meaning of the *noise* label provided by DBSCAN. An important fraction of the total data set was determined to be *noise*, almost one fourth, so it is necessary to know what are their implications.

As it has been said in previous sections, DBSCAN is a density-based clustering algorithm. This means that it tries to find areas of the data set space where the density of points is considerably higher than the rest. Therefore the difference of density is the key factor while identifying clusters. When the density changes abruptly, as happens in the case of *cluster1* or *cluster2* on the figure, DBSCAN is able to identify almost the entire “cloud” as member of one cluster. When the density changes gradually, as in the case of *cluster3*, it is much more difficult for DBSCAN to “draw the frontier of the cluster”, losing points in the process. These lost points are labeled as *noise*, as they interfere in the clustering procedure and make it more complicated. Anyway, ***noise points should not be considered as outliers of any kind***, as are a result not of the data set itself but of the DBSCAN characteristics. It could be said that what DBSCAN provides is, in fact, each cluster “core” points, meaning those points that are

---

---

Correctly Classified Instances	901 (98.4699%)
Incorrectly Classified Instances	14 (1.5301%)
Mean absolute error	0.0184
Relative absolute error	5.3423%
Total Number of Instances	915

Figure 5. Decision tree generated by the C4.5 algorithm and cross-validation results

in the most dense areas and therefore are those how better represent the cluster characteristics.

Once the clustering is done, the next step is to perform the state characterization, by means of the C4.5 classification algorithm. The use of this algorithm is very simple and the resulting decision tree can be seen in Figure 5.

Some additional values regarding the generated classification model are also shown in Figure 5. These values were obtained as the result of a stratified tenfold cross-validation of the model over the monitoring data and provide a better understanding of its quality. As it can be seen, the model is simple but accurate (less than a 2% of incorrectly classified instances) and the FSM can be easily constructed from it.

Finally, the last remaining step is to actually build the FSM, based on the classification model generated. Figure 6 shows the resulting FSM, displaying each state and the transition conditions. A close analysis of the decision tree generated in the previous stage and the FSM displayed here can provide an understandable explanation of each state:

- **State 1:** It is characterized by a low average network bandwidth (below 44 MB/s), probably mostly due to network overload. It corresponds to the cluster *cluster1* observed.

Figure 6. Finite State Machine generated at the end of the first experiment

- **State 2:** It is characterized by a medium average network bandwidth (between 44 and 81 MB/s). It seems to represent the medium load state of the grid. It corresponds to the cluster *cluster2* observed.
- **State 3:** It is characterized by a high average network bandwidth (over 81 MB/s). This represents a barely loaded grid, where the network can be used at full capacity. It corresponds to the cluster *cluster3* observed.

As it can be seen the resulting model is not only simple and useful, but also makes perfect sense given the environment. It is important to remember that it has been generated without any “human guidance”, therefore the emerging FSM is only the result of the natural grid behavior, and not influenced by any previous conception or assumption.

This is, nevertheless, a very small example of the potential of this technique. The second experiment is focused on a “real-life” scenario, displaying how global behavior modeling applies to real environments and further developing its characteristics and advantages.

## 5.2. Second experiment: Real scenario

### 5.2.1. Scenario characteristics

For this second part of the experimental validation real monitoring data from PlanetLab [42] was used. PlanetLab is a global scientific research network, used by researchers at top academic institutions and industrial research labs to develop new technologies for distributed storage, network mapping, peer-to-peer systems, distributed hash tables, and query processing. PlanetLab currently consists of 991 nodes at 485 sites, scattered all over the world. It presents

---

---

all the heterogeneity, complexity and variability expected from any real grid computing infrastructure, and therefore it is an excellent scenario for testing the global behavior modeling techniques presented here.

PlanetLab provides free access to a monitoring tool called CoMon [7], capable of presenting detailed information about the current state of each active node in the system. Many different parameters are monitored, including CPU usage, memory usage, network traffic, architecture characteristics, I/O operations, and so on. We have been gathering information from these tool in order to create a comprehensive monitoring database of the historical evolution of PlanetLab. The information contained on this database has been used in order to test the global behavior modeling techniques in a “real-life” scenario. The basic objective of this experiment is to create a useful global behavior model of PlanetLab and validate its quality and stability.

### 5.2.2. *Experiment objectives*

Using the monitoring information gathered from PlanetLab, we intended to validate certain characteristics of our global behavior modeling technique:

- **Model quality:** The generated model should be able to describe accurately the observed behavior, specially in terms of the states identified.
- **Model stability:** The generated model should present at least some stability along the time, in order to be usable.
- **Model usefulness:** The generated model should be understandable from a human perspective, in order to be useful for management purposes.

### 5.2.3. *Experiment development*

For this experiment a total of 22 weeks of PlanetLab monitoring data were used. As it has been said before, this data contained information related to each node independently, so first of all it had to be aggregated to provide global values. Prior to this aggregation, the CoMon monitoring tool provided the following 10 parameters:

1. Busy CPU percentage
2. Last 5 minutes CPU load
3. Memory size
4. Free memory
5. Hard disk input traffic
6. Hard disk output traffic
7. Hard disk size
8. Hard disk use
9. Network input traffic
10. Network output traffic

These are generic parameters related to the PlanetLab resources and not selected by any human expert regarding any specific requirements (services provided, etc). The global behavior

---

---

modeling process will automatically identify those that are really relevant to the system's behavior.

The data set was then fragmented in 1 hour monitoring intervals and aggregated values of average and standard deviation were calculated for each of these 10 parameters, resulting a total of 20 global monitoring parameters.

The data set was then divided in many subsets, in order to generate different models in different instants of time. The length of these subsets was also variable, with a fixed minimum distance between monitoring subsets of 5 days. Subset sizes of 10, 20, 30, 40 and 50 days were selected generating a total of 105 subsets (21 of each size), with different grades of overlapping between them. All these subsets were used to generate different global behavior models, and then compare between them.

#### 5.2.4. *Model quality*

Using the global behavior modeling procedure described in this paper a behavior model for each one of the 105 data subset was generated. As in the case of the simulated scenario presented before, the state characterization step included a stratified tenfold cross-validation, in order to provide a measure of how the observed states were correctly identified by the classification model. As this classification model is the basis for the finite state machine finally constructed, the result of this cross-validation indicates how well the behavior model describes the observed global behavior. Specifically, this test indicates the percentage of correctly classified instances in the data subset.

Figure 7 shows the average correctly classified instances percentage obtained, separated by monitoring subset size. As can be seen the value slightly differs between subsets, but in no case it is below 90%. The lowest average value is obtained by the 10 days subsets, to be precise 93.44%. The highest value is obtained by the 40 days subsets, specifically 95.34%. As it can be seen, the difference is not so significant (less than 2%), making possible to affirm that the model capability to correctly identify each observed state is very good, in all cases.

#### 5.2.5. *Model stability*

In order to determine the global behavior model stability, the three clustering comparison indexes described in previous sections were used (grade of similarity, Rand index and Fowlkes-Mallows level of agreement). The classification model obtained from each monitoring subset was compared to the observed states of the rest, separated by sizes (the '10 days' models were compared with other '10 days' models, '20 days' models with other '20 days' models and so on).

To better understand how this comparison was made, it is necessary to go back to the definition of the  $F(t, w, d)$  function and see how it was calculated in the experiment:

---

Figure 7. Average cross-validation results

1. First a starting monitoring subset  $(t - d - w, t - d]$  was selected. The size of this subset (10, 20, 30, 40 or 50 days) determined the value of  $w$ . This subset also corresponded to a specific moment in time, which is  $t - d$ .
2. Using this monitoring subset, the corresponding global behavior model was generated. One of the last steps of this construction produced the classification model  $M(t - d, w)$  (classification model at time  $t - d$  with subset size  $w$ ).
3. Then a new monitoring subset  $(t - w, t]$  was selected, of the same size of the previous one, and therefore with the same  $w$  value. Calculating the time difference between this new subset and the first one the values  $t$  (the time instant of the new subset) and  $d$  (the distance between both subsets) are obtained.
4. The clustering  $C(t, w)$  was then calculated, using the new monitoring subset. The resulting clusters are the **observed states** for interval  $(t - w, t]$ .
5. The next step was to apply model  $M(t - d, w)$  to the new  $(t - w, t]$  monitoring subset. This produces a set of **predicted states**.
6. Finally we use one of the clustering comparison indexes to compare observed and predicted states. The resulting value can be used as an estimator of  $F(t, w, d)$ .

Repeating this operation for all possible combinations of  $t$ ,  $w$  and  $d$  (in the monitoring data set) generates an estimation of the  $F(t, w, d)$  function, based on the clustering comparison index selected. As we used three different indexes, we have three different estimations of this function, each one based on one of them.

Figure 8. Average  $S$  index values

Figure 8 shows the estimation of the  $F(t, w, d)$  function using the *grade of similarity* ( $S$ ) index. The  $X$  axis indicates the distance  $d$  between the two subsets compared and the  $Y$  axis measures the  $S$  index value obtained. Instead of plotting 105 curves (one for every subset), the obtained values have been grouped (using average values) by  $w$  value, displaying five curves for 10, 20, 30, 40 and 50 days monitoring subsets. An additional sixth curve is also plotted, displaying the total average values of the other five ones.

The first thing that can be seen in Figure 8 is that all plotted curves have a  $S$  index value very close to 1 at distance  $d = 0$ . This is perfectly understandable and expected, as at distance 0 the two compared subset are in fact the same one, and, as it has been previously said, the cross-validation performed on the classification models generated presented very good results (around 95%). After that the curves decrease at different speeds, basically depending on the size of  $w$ . To understand this is important to remember that when the distance is small there might be overlapped data between the two subsets compared. For instance, a 30 days subset compared with another that is only 10 days away will have 20 days of information shared with it. This overlapped data makes the two subsets very similar (as they positively share some values) and therefore the classification model will naturally predict the states better. We consider these first values unrealistic for stability measurement procedures, as our real intention is to compare completely different subsets. Therefore the most important information displayed on Figure 8 begins when the distance  $d$  is higher than 50 (so there is no overlapped data in any case).

---

Since that moment the most significant information is revealed: the similarity index values seem to stabilize around 0.78. This is important for two main reasons:

- First of all this seems to indicate that there is real behavior stability within the system. Therefore a behavior model generated at a given time can predict the grid behavior at any other time, with a certain level of error. The fact that the  $F(t, w, d)$  function estimator seems to be stable along the time (once cleared of the “overlapping effect”) indicates that, even though there is real variability on the system, there is very possibly also a basic almost stable behavior. A global behavior model such a finite state machine seems, in consequence, to be a suitable representation of this basic behavior, in order to improve long-term management and performance prediction.
- The second reason why the stabilization of the  $F(t, w, d)$  function is important is because the value is high enough (0.78 in this case). This means that there is an important amount of behavior information that keeps constant along the time, which is the basic behavior above mentioned. It also indicates that the prediction error of a generated model will probably be within acceptable limits, as it is able to correctly identify a great part of the grid behavior.

Besides, Figure 8 shows that, once the  $F(t, w, d)$  function is stabilized, there is not a big difference between different values of  $w$ . All models seem to present very close similarity values. This seems to indicate that the size of  $w$  is not as important as was originally believed, as 10 days models seem to provide as good results as 50 days ones.

Even though the results presented in Figure 8 provide very significant information, it is important to use more than one comparison index in order to really validate them.

Figure 9 shows the same results commented above, but using the Rand index as clustering comparison tool. The data is still grouped by  $w$  value, the  $X$  axis indicates the distance between compared subsets and the  $Y$  axis shows the average value of the Rand index for each case.

As it can be seen the basic patterns observed in the previous case are still present, but the different index used incorporates new information to the graph.

The improved agreement due to overlapped data (the “overlapping effect” described above) is much more evident in this case, specially when comparing the ‘10 days’ and ‘50 days’ case. The stabilization of the  $F(t, w, d)$  function is present here as well, but less clearly than in the previous case. All curves show a very similar behavior (after the model distance is higher than 50) and all tend to stability, but there are still some variations present. Anyway the tendency to stability can be seen, happening in this case around a value of 0.5 in the Rand index.

Generally speaking, the Rand index seems much more sensitive to data variations, providing reasonably good values but lacking the clearness of the previous case. We need then to use another index to clarify these results, indicating if the conclusions obtained with the first index are valid. To solve these doubts the more accurate Fowlkes-Mallows level of agreement ( $B_k$ )

Figure 9. Average Rand index values

index was used. The results obtained, represented in the same way as the two previous cases, can be seen in Figure 10.

The Fowlkes-Mallows index ( $B_k$ ) clearly identifies the “overlapping effect” when  $d$  is small, as the  $S$  index and more clearly the Rand index did. The Fowlkes-Mallows index evolution also displays the clear model stability observed in the first case. The  $F(t, w, d)$  function is stabilized now around 0.63.

The results provided by this third index clearly support the conclusions extracted from the case of the  $S$  index, showing a clear behavior stability and a great amount of constant behavior information that can be identified with any model generated.

At this point, it is important to consider the three stability values obtained for the  $F(t, w, d)$  function using the three cluster comparison indexes. The first one provided 0.78, the second one 0.5 and the third one 0.63. **These values can not be numerically compared**, as they came from different indexes. What can be compared is the qualitative meaning of these values, as all three indexes are designed to provide information regarding the same thing. From this point of view, all three indexes results indicate that there is a reasonably good agreement between the clustering compared, supporting our conclusion that the system behavior has certain stability thoughtout time and also there is an important amount of its behavior that is constant and can be globally modeled and predicted.

Figure 10. Average Fowlkes-Mallows level of agreement ( $B_k$ ) values

#### 5.2.6. Model usefulness

A set of previously described requirements have to fulfilled (understandability, simplicity, etc) to obtain a useful behavior model. The PlanetLab used monitoring data produced a total of 105 different behavior models, each one generated using one of the monitoring subsets. Presenting here all of them would be clearly excessive and by no means necessary, specially considering that, as has been explained before, the system's partial stability would make most models very similar. Instead of that one example will be described and analyze, in order to determine its particular characteristics and suggest possible applications.

The model selected was generated using a 50 days subset of the PlanetLab monitoring data. All the global behavior modeling stages were performed as described before and the resulting finite state machine was generated.

Figure 11 shows the decision tree generated by the C4.5 algorithm and the specific cross-validation results for this model. As can be seen five different states have been identified, and the analysis of this tree provides the qualitative description of them:

- **State 1** indicates that the average disk usage of the system is low (below 17.7%) but the CPU is considerably loaded (higher than 52%). This can be summarized as a situation where the grid is being used only for calculations or other CPU demanding operations.

---

Correctly Classified Instances	995 (99.1036%)
Incorrectly Classified Instances	9 (0.9864%)
Mean absolute error	0.006
Relative absolute error	2.0052%
Total Number of Instances	1004

Figure 11. Sample decision tree generated by the C4.5 algorithm using PlanetLab monitoring data and cross-validation results

- **State 2** presents a system with low disk usage and also low CPU usage. This probably indicates a generally low loaded system. This is also the most frequent state in the monitoring subset.
- **State 3** presents a system with more disk usage than state 1 and 2 (over 17.7%) but, not a high CPU load. Another parameter is introduced here, also indicating that average available memory is bellow 2.3GB. This indicates a low loaded grid, but with not very much available memory and storage capacities.
- **State 4** is similar to state 3, but in this case the CPU load is higher than 52%. This seems to be the most heavy loaded state, as the disk usage could be high and there is not much memory available.
- **State 5** indicates a system also with more disk usage than states 1 and 2 (over 17.7%) but with a higher memory size than states 3 and 4.

---

<b>Transitions</b>		
<b>State</b>	<b>Event</b>	<b>Transits to</b>
State 1	CPU use $\leq 52\%$	State 2
	Disk use $> 17.7\%$ and Mem size $\leq 2.3GB$	State 4
	Disk use $> 17.7\%$ and Mem size $> 2.3GB$	State 5
State 2	CPU use $> 52\%$	State 1
	Disk use $> 17.7\%$ and Mem size $\leq 2.3GB$	State 3
	Disk use $> 17.7\%$ and Mem size $> 2.3GB$	State 5
State 3	Disk use $\leq 17.7\%$	State 2
	CPU use $> 52\%$	State 4
	Mem size $> 2.3GB$	State 5
State 4	Disk use $\leq 17.7\%$	State 1
	CPU use $\leq 52\%$	State 3
	Mem size $> 2.3GB$	State 5
State 5	Disk use $\leq 17.7\%$ and CPU use $> 52\%$	State 1
	Disk use $\leq 17.7\%$ and CPU use $\leq 52\%$	State 2
	Mem size $\leq 2.3GB$ and CPU use $\leq 52\%$	State 3
	Mem size $\leq 2.3GB$ and CPU use $> 52\%$	State 4

Figure 12. Sample finite state machine generated using PlanetLab monitoring data

Using this information a finite state machine was built, as displayed in Figure 12. The transitions have been indicated in a separated table to prevent the graph from being difficult to read.

---

As it can be seen the resulting states and state transitions are very easy to understand and work with, and provide valuable behavior information about the whole system. This information can now be used to design specific grid management mechanisms adapted to the most frequent situations (represented by the five identified states) on the system.

Job scheduling can be pointed out as a possible example of how this model can be applied to grid management. The five identified states clearly determine five different system conditions where some jobs might be more suitable for execution. A CPU demanding job, for instance, would be more easily and rapidly dispatched during states 2 and 3 than 1 and 4. Incorporating this information to the job scheduler would provide significant improvement of the use of system resources, scheduling jobs according to the system state. But not only CPU usage is present on the model, so other aspects such as memory and disk availability could be considered, more effectively scheduling jobs depending on their memory and storage requirements.

Generally speaking the incorporation of the global behavior model into the job scheduler could strongly improve its effectiveness. The identified states came from observation of the system instead of a human expert subjective advice, therefore they are more likely to fit into the real behavior, focusing only on observed events.

Anyway this is just a simple example of how this model could be used. Other applications such as fault tolerance, storage allocation, load balancing and many more can benefit from a global behavior modeling approach.

## 6. Conclusions and Future Work

Although current grid environments have achieved a high level of maturity, most of them are characterized to be very complex. We have presented in this paper an approach which enables the development of an abstract behavior model of a grid. This model provides a simplified view of the performance of the grid, which can be used to make decisions oriented to optimize the use of the system.

The main advantages of this approach are:

- The model which is built by means of our technique is easy to be interpreted by a system manager or administrator.
- The simplicity of the model makes easier to use within management tools in order to improve the performance of the grid.
- The model is built in an autonomous way. No human intervention is needed.

The paper describes two use cases, one in a simulated scenario and another one in a real scenario. In both cases, we have found an understandable model. The stability of the models has also been proven. Furthermore, some examples of application of the model have been also shown, emphasizing the importance of this initiative.

---

As current and future work, we are trying to improve the generated model's accuracy and above all extending our approach to be able to predict the environment behavior. By means of this prediction it would be possible to anticipate events within the system and make more accurate decisions aimed at enhancing the global performance of the grid.

## REFERENCES

1. I. Borg and J. Lingoes, *Multidimensional similarity structure analysis*. Springer-Verlag, 1987.
  2. Carmen Bratosin, Wil M. P. van der Aalst, Natalia Sidorova, and Nikola Trcka. A reference model for grid architectures and its analysis. In Robert Meersman and Zahir Tari, editors, *OTM Conferences (1)*, volume 5331 of *Lecture Notes in Computer Science*, pages 898–913. Springer, 2008.
  3. R. Buyya, D. Abramson, and J. Giddy. Nimrod/g: an architecture for a resource management and scheduling system in a global computational grid. volume 1, pages 283–289 vol.1, 2000.
  4. Rajkumar Buyya and Manzur Murshed. GridSim: A Toolkit for the Modeling and Simulation of Distributed Resource Management and Scheduling for Grid Computing. *Concurrency and Computation: Practice and Experience*, 14(13–15):1175–1220, 2002.
  5. John Carroll and Darrell Long. *Theory of finite automata with an introduction to formal languages*. Prentice-Hall, Inc., Upper Saddle River, NJ, USA, 1989.
  6. J. L. Chandon and S. Pinson. *Analyse typologique. Théorie et applications*. Masson, Paris, 1981.
  7. CoMon - A Monitoring Infrastructure for PlanetLab, accessed Mar 2009 [Online]. Available: <http://comon.cs.princeton.edu/>.
  8. Roy D'Andrade. U-statistic hierarchical clustering. *Psychometrika*, 43(1):59–67, March 1978.
  9. A. P. Dempster, N. M. Laird, and D. B. Rubin. Maximum likelihood from incomplete data via the em algorithm. *Journal of the Royal Statistical Society. Series B (Methodological)*, 39(1):1–38, 1977.
  10. Marios D. Dikaiakos. Grid benchmarking: vision, challenges, and current status: Research articles. *Concurr. Comput. : Pract. Exper.*, 19(1):89–105, 2007.
  11. Jack J. Dongarra and Wolfgang Gentzsch, editors. *Computer benchmarks*. Elsevier Science Publishers B. V., Amsterdam, The Netherlands, The Netherlands, 1993.
  12. EGEE Portal: Enabling Grids for E-science, accessed Mar 2009 [Online]. Available: <http://www.eu-egge.org/>.
  13. Martin Ester, Hans-Peter Kriegel, S. Jörg, and Xiaowei Xu. A density-based algorithm for discovering clusters in large spatial databases with noise, 1996.
  14. CERN European Organization for Nuclear Research. EGEE - Alice Job Summary, accessed Feb 2009 [Online]. Available: <http://dashb-alice.cern.ch/dashboard/request.py/jobsummary>.
  15. CERN European Organization for Nuclear Research. EGEE - Atlas Dashboard, accessed Dec 2008 [Online]. Available: <http://dashb-atlas-prodsys-test.cern.ch/dashboard/request.py/summary>.
  16. CERN European Organization for Nuclear Research. EGEE - Atlas Job Summary, accessed Feb 2009 [Online]. Available: <http://dashb-atlas-job.cern.ch/dashboard/request.py/jobsummary>.
  17. CERN European Organization for Nuclear Research. EGEE - CMS Job Summary, accessed Feb 2009 [Online]. Available: <http://lxarda09.cern.ch/dashboard/request.py/jobsummary>.
  18. I. Foster. What is the Grid? A Three Point Checklist. *Grid Today*, 1(6), Jul 2002.
  19. I. Foster and C. Kesselman, editors. *The Grid 2: Blueprint for a New Computing Infrastructure*. Morgan Kaufmann Publishers, 2004.
  20. E. B. Fowlkes and C. L. Mallows. A method for comparing two hierarchical clusterings. *Journal of the American Statistical Association*, 78(383):553–584, 1983.
  21. M. Frumkin and R. F. Van der Wijngaart. NAS Grid Benchmarks: A Tool for Grid Space Exploration. *Cluster Computing*, 5(3):247–255, 2002.
  22. Ganglia distributed monitoring and execution system, accessed Mar 2009 [Online]. Available: <http://ganglia.info/>.
  23. Yuri Gurevich. Evolving Algebras: An Attempt to Discover Semantics. In *EATCS Bulletin*, volume 43, pages 264–284. European Assoc. for Theor. Computer Science, February 1991.
  24. Jiawei Han and Micheline Kamber. *Data Mining: Concepts and Techniques (The Morgan Kaufmann Series in Data Management Systems)*. Morgan Kaufmann, September 2000.
  25. S. Haykin. *Neural Networks: A Comprehensive Foundation*. Macmillan, New York, 1994.
-

- 
26. Laurie J. Heyer, Semyon Kruglyak, and Shibu Yooseph. Exploring expression data: Identification and analysis of coexpressed genes. *Genome Res.*, 9(11):1106–1115, November 1999.
  27. John E. Hopcroft, Rajeev Motwani, and Jeffrey D. Ullman. *Introduction to Automata Theory, Languages, and Computation*. Addison Wesley, 2nd edition, November 2000.
  28. A. K. Jain and J. Mao, “Artificial neural networks for nonlinear projection of multivariate data,” *1992 IEEE joint Conf. on Neural Networks*, pp. 335–340, Baltimore, MD, 1992.
  29. Stephen Johnson. Hierarchical clustering schemes. *Psychometrika*, 32(3):241–254, September 1967.
  30. J. O. Kephart and D. M. Chess. The vision of autonomic computing. *Computer*, 36(1):41–50, 2003.
  31. Klaus Krauter, Rajkumar Buyya, and Muthucumaru Maheswaran. A taxonomy and survey of grid resource management systems for distributed computing. *Software Practice and Experience*, 32(2):135–164, 2002.
  32. J. Kruskal, “Multidimensional scaling by optimizing goodness of fit to a nonmetric hypothesis,” *Psychometrika*, vol. 29 pp.1–27, 1964.
  33. J. B. MacQueen. Some methods for classification and analysis of multivariate observations. In L. M. Le Cam and J. Neyman, editors, *Proc. of the fifth Berkeley Symposium on Mathematical Statistics and Probability*, volume 1, pages 281–297. University of California Press, 1967.
  34. GMonE: Grid Monitoring Environment, accessed Mar 2009 [Online]. Available: <http://laurel.datsi.fi.upm.es/proyectos/globem/gmone/>.
  35. MonALISA - Monitoring the Grid since 2001, accessed Mar 2009 [Online]. Available: <http://monalisa.cacr.caltech.edu/>.
  36. Zsolt Nemeth and Vaidy Sunderam. Characterizing grids: Attributes, definitions, and formalisms. *Journal of Grid Computing*, 1(1):9–23.
  37. Zsolt N. Németh and Vaidy Sunderam. A formal framework for defining grid systems. In *CCGRID '02: Proceedings of the 2nd IEEE/ACM International Symposium on Cluster Computing and the Grid*, page 202, Washington, DC, USA, 2002. IEEE Computer Society.
  38. H. B. Newman, I C Legrand, P Galvez, R Voicu, and C Cirstoiu. MonALISA : A Distributed Monitoring Service Architecture. In *2003 Computing in High Energy and Nuclear Physics (CHEP03), La Jolla, California, USA*, March 2003.
  39. H. B. Newman, I.C. Legrand, and J.J. Bunn. A Distributed Agent-based Architecture for Dynamic Services. In *CHEP 2001, Beijing*, September 2001.
  40. Network Weather Service: Introduction, accessed Mar 2009 [Online]. Available: <http://nws.cs.ucsb.edu/ewiki/>.
  41. Noam Palatin, Arie Leizarowitz, Assaf Schuster, and Ran Wolff. Mining for misconfigured machines in grid systems. In *KDD '06: Proceedings of the 12th ACM SIGKDD international conference on Knowledge discovery and data mining*, pages 687–692, New York, NY, USA, 2006. ACM.
  42. Planetlab - An open platform for developing, deploying and accessing planetary-scale services, accessed Mar 2009 [Online]. Available: <http://www.planet-lab.org/>.
  43. Ross J. Quinlan. *C4.5: Programs for Machine Learning (Morgan Kaufmann Series in Machine Learning)*. Morgan Kaufmann, January 1993.
  44. William M. Rand. Objective criteria for the evaluation of clustering methods. *Journal of the American Statistical Association*, 66(336):846–850, 1971.
  45. J. W. Sammon, “A non-linear mapping for data structure analysis,” *IEEE Trans. Computers*, C18 pp.401–408, 1969.
  46. A. Sánchez. *Autonomic high performance storage for grid environments based on long term prediction*. PhD thesis, Universidad Politécnica de Madrid, 2008.
  47. J. M. Schopf, M. D’Arcy, N. Miller, L. Pearlman, I. Foster, and C. Kesselman. Monitoring and Discovery in a Web Services Framework: Functionality and Performance of the Globus Toolkit’s MDS4. Technical Report ANL/MCS-P1248-0405, Argonne National Laboratory, April 2005.
  48. Mumtaz Siddiqui and Thomas Fahringer. GridARM: Askalon’s Grid Resource Management System. In *Advances in Grid Computing - EGC 2005 - Revised Selected Papers*, volume 3470 of *Lecture Notes in Computer Science*, pages 122–131, Amsterdam, Netherlands, June 2005. Springer Verlag GmbH, ISBN 3-540-26918-5.
  49. George Tsouloupas and Marios D. Dikaiakos. Gridbench: A tool for the interactive performance exploration of grid infrastructures. *J. Parallel Distrib. Comput.*, 67(9):1029–1045, 2007.
  50. J. J. Valdés, “Virtual reality representation of relational systems and decision rules,” In P. Hajek, editor, *Theory and Application of Relational Structures as Knowledge Instruments*, Meeting of the COST Action 274. Prague, Nov 2002.
-

- 
51. J. J. Valdés, "Similarity-based heterogeneous neurons in the context of general observational models," *Neural Network World*, vol. 12(5) pp. 499–508, 2002.
  52. J. J. Valdés, "Virtual reality representation of information systems and decision rules," *Lecture Notes in Artificial Intelligence*, vol. 2639 *LNAI*, pp. 615–618. Springer-Verlag, 2003.
  53. J. Valdés, "Building virtual reality spaces for visual data mining with hybrid evolutionary-classical optimization: Application to microarray gene expression data," *2004 IASTED International Joint Conference on Artificial Intelligence and Soft Computing, ASC'2004*, pp. 161–166, Marbella, Spain, Sept 2004. ACTA Press, Anaheim, USA.
  54. J. J. Valdés, A.J. Barton, "Virtual Reality Visual Data Mining with Nonlinear Discriminant Neural Networks: Application to Leukemia and Alzheimer Gene Expression Data," *Proceedings of the IJCNN'05 International Joint Conference on Neural Networks*. July 31-August 4, 2005, Montreal, Canada
  55. Rich Wolski. Experiences with predicting resource performance on-line in computational grid settings. *SIGMETRICS Perform. Eval. Rev.*, 30(4):41–49, 2003.
  56. Rich Wolski, Neil T. Spring, and Jim Hayes. The network weather service: a distributed resource performance forecasting service for metacomputing. *Future Generation Computer Systems*, 15(5–6):757–768, 1999.